

# KURE

Regulatory & compliance management automation.



## Digitally manage the customer and investor lifecycle.

Meet your and your clients' regulatory and compliance responsibilities with KURE's end-to-end visibility and control.

### Automate and streamline

KURE is a fully automated compliance and regulatory solution that allows you to run your AML, KYC, onboarding and offboarding, and regulatory reporting services scalably and efficiently at a global level. KURE helps fund administrators, investment managers, trust companies, advisors and any business with an AML/KYC compliance requirement. Industry-leading capabilities include:

- Automated maintenance of the investor register.
- Ongoing client due diligence.
- Client offboarding.
- FATCA & CRS reporting and remediation.
- Automated filing.
- Dashboard management overview.
- Investor screening.
- Suspicious activity monitoring.
- Underlying beneficial owner tracking.
- Workflow integration.

### KURE supports compliance for:

- Investors
- Individuals
- Institutions
- Related parties
- UBOs
- FATCA
- CRS
- Tax certification
- GIIN validation
- GDPR

### Why choose KURE

Automated account refresh	Real-time suspicious activity monitoring	Risk profiling
Investor and entity screening	Dashboard overview	Data retention & purging
Tax jurisdiction rules	Management of indicia	Regulatory reporting & remediation

# Your needs. Our solution.

Strengthen your compliance. Streamline your regulatory reporting.  
Enrich your service.



## Client onboarding

**Challenge:** Onboarding is a crucial first step in the client journey, but comes with nuances based on circumstance and jurisdiction. These intricacies bring complication.

**KURE solution:** KURE supports the complete client onboarding process. Risk-based AML/KYC, integrated screening (including UBO/controller), regulatory reporting onboarding and aged analysis reporting are built into the system, ensuring all parts of your onboarding function are fully controlled.

### User benefit:

Automating client onboarding creates an efficient, accurate and scalable model that can be embedded in a global organisation.



## Ongoing client due diligence

**Challenge:** Client due diligence is a never-ending requirement with zero tolerance for error. Without automation, firms will struggle to maintain compliance.

**KURE solution:** KURE automates the ongoing due diligence effort. Screening and risk profiling allow end users to manage by exception. Real-time activity monitoring enables any potential issues to be dealt with before they become an actual breach. Capabilities encompass:

- Fraud prevention.
- Suspicious activity monitoring
- Expired documentation.
- Risk profiling.
- Screening.
- Account refresh.

### User benefit:

Automated ongoing due diligence safeguards your business, allowing an enterprise level of operation with global application.



## Client offboarding

**Challenge:** Offboarding is a critical stage in the lifecycle. It requires a controlled process and is difficult for firms to scale.

**KURE solution:** KURE's framework of systematic data retention capabilities can be easily configured on a jurisdictional basis. Automated processes alert users when retention periods have been reached and action needed. Specific data is targeted in a controlled fashion where purging is required.

Data controllers and processors remain GDPR compliant with a robust, digital flow complete with audit tracking.

### User benefit:

Automatic allocations result in accurate valuations, faster investor reporting and increased client satisfaction.



## Tax controls

**Challenge:** Tax laws, certification and validation must be attained and kept up-to-date, adding a huge burden to businesses. Offline solutions cannot be scaled and are unsustainable.

**KURE solution:** KURE enables users to incorporate local tax laws and evolve with regulatory change. Automated tax and self-certification form collection and validation streamline processes. Expiration dates raise flags for users to action. Validations apply to the various forms, ensuring they are populated correctly.

### User benefit:

Jurisdictional and rules-based tax forms coupled with smart validation create a scalable solution. KURE enables firms to set up once and benefit for the lifetime of the entity being administered.



## Regulatory reporting

**Challenge:** Investment managers and administrators must meet an array of regulatory reporting obligations. Offline methods are manually-intensive, time-consuming, error-prone and costly.

**KURE solution:** KURE integrates with the investor servicing system. Automatic Exchange of Information-compliant processes for FATCA, CRS and other treaties are embedded in KURE, while automated report generation in filing-ready state removes the need for conversion tools. Indicia tracking keeps FATCA and CRS statuses up-to-date on an ongoing basis, with systematic logic to determine curative documentation required.

All actions are tracked in the audit tables for review or investigation. Remediation is fully handled in KURE.

### User benefit:

Remove the headache of managing regulatory reporting with proven automation.



## Automated business process management

**Challenge:** Workflow management poses challenges for all organisations. Any breakdowns or sticky processes risk errors, bottlenecks and inefficiencies.

**KURE solution:** An integrated workflow solution means tasks, activities and statuses are transparent. Data updates, such as change of circumstance, automatically create items requiring resolution with checklists. KURE handles remediation of these actionable items.

KURE makes the management and allocation of tasks across global teams easy. Recurring tasks can be configured and assigned, SLA deliverables tracked and overall dashboard views set for simple MIS.

### User benefit:

Transparency and control enable scalability and efficiency.

A person wearing a white helmet and dark clothing is sitting on a sand dune in a vast desert landscape. The dunes are illuminated by warm, golden light, creating a sense of depth and scale. The person is positioned in the middle ground, looking towards the horizon.

**Automate the processes  
most important to you**

Discover how KURE can  
transform your business.

## **About Deep Pool**

Deep Pool is the #1 investor servicing and compliance solutions supplier, providing cutting-edge software and consulting services to the world's leading fund administrators and asset managers. Our flexible solution suite, developed by an experienced team of accountants, business analysts and software engineers, supports offshore and onshore hedge funds, partnerships, private equity vehicles, retail funds and regulated financial firms. Deep Pool is headquartered in Dublin, Ireland, with offices in the United States, the Cayman Islands and Slovakia.

For any enquiries email [sales@deep-pool.com](mailto:sales@deep-pool.com) or visit [www.deep-pool.com](http://www.deep-pool.com)

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