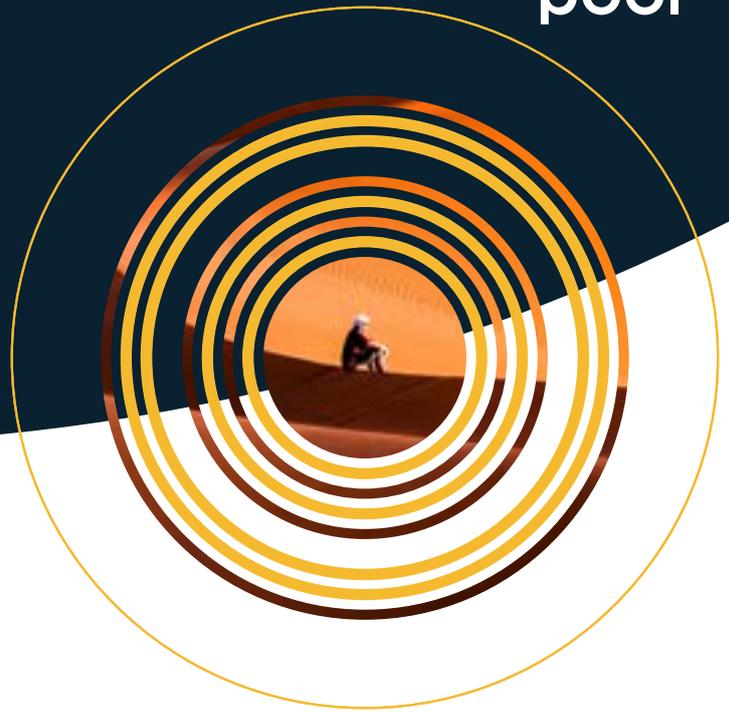


KURE

for Customer Lifecycle
Management



**The solution to all your
AML/KYC woes, is KURE.**

Doing business across a multitude of jurisdictions in a variety of different countries – each with their own, ever-changing legal nuances – is no easy feat. But, it can be.

KURE provides a single investor record for any involved party (investors, individuals, institutions and related parties), no matter where they are in the world. With real-time updates, those records will always be 100% accurate – no matter what changes, or where.

And with automation, workflow, dashboards and real-time monitoring we can give your teams their time back, without jeopardising your zero-tolerance principals.

Meet your and your clients' global AML/KYC responsibilities with real-time visibility and control at every stage of the customer lifecycle.

Automate, streamline, control

No organisation has the time, or the tolerance for slow, manual processes. Replace legacy workflows with a fully automated compliance and regulatory solution. KURE allows you to manage all your AML and KYC tasks scalably and efficiently in real time.

As industry leaders, our clients rely on us for their:

- Digitalised client onboarding.
- Investor screening.
- Underlying beneficial owner tracking.
- Ongoing client due diligence.
- Real-time suspicious activity monitoring.
- GDPR-compliant client offboarding.
- Dashboard management overview.

One secure step at a time:

KURE was created to be integrated. Rather than overhauling your entire system at once, leaving you open to loss, risk and down-time, we can replace different redundant models, as and when they need replacing. In this way we enrich your current ways of working, rather than simply removing them.

Automate the processes most important to you

KURE's modular AML/KYC tools support every stage of the customer lifecycle. AML functionality can also be combined with KURE's fully automated FATCA and CRS reporting capabilities to provide a complete compliance solution. And with seamless integration to Deep Pool's industry-leading transfer agency and workflow platforms, you have the automated, end-to-end investor servicing infrastructure your business needs.

Why choose KURE AML/KYC

Digitalised customer onboarding	Risk-weighted customer profiling	Investor and entity screening
Automated account refresh	Real-time suspicious activity monitoring	GDPR-compliant data retention & purging
Digitise legacy workflows	Customisable rulesets	Modular capabilities

Your needs. Our solution.

Strengthen your compliance. Streamline your regulatory reporting.
Enrich your service.



Digitise legacy processes

Challenge: Many institutions' AML/KYC processes remain dependent on spreadsheets, legacy systems and manual workarounds. Fragmentation and lack of automation produce risk and inefficiencies.

KURE solution: KURE replaces legacy workflows and fragmented infrastructures with end-to-end, integrated automation. Its modular flexibility means users can opt to automate specific pain points, or use KURE as a complete AML solution for every stage in the customer lifecycle.

User benefit:

Digital transformation cuts employees' AML compliance workload, reduces costs, speeds up processes, minimises errors and their attendant risks.



Manage multi-jurisdictional complexity

Challenge: Ensuring the necessary AML and KYC documentation is in place is a laborious administrative task, especially when it has to be replicated across multiple regulations and jurisdictions. Document errors and omissions risk severe regulatory penalties.

KURE solution: KURE manages institutions' complex, multi-jurisdictional due diligence obligations. Its risk calculation engine and document rule sets can be easily customised to meet firms' specific needs. Document checklists ensure the correct supporting information has been captured for each client based on their risk profile and the relevant jurisdictions' requirements, with documentation requests sent by automated email. Static data and documents are stored in a secure, centralised repository so they can be shared across regulations and jurisdictions.

User benefit:

Automated document requests save manual effort, while ensuring all necessary information has been captured, preventing regulatory censure. Storing documents and data for reuse minimises duplication and the need to contact clients multiple times.



Fast customer onboarding

Challenge: Onboarding is a crucial first step in the client journey. But stringent requirements are complicated by nuances based on circumstance and jurisdiction.

KURE solution: KURE supports the complete customer onboarding process. Risk-based AML/KYC, integrated screening (including UBO/controller), regulatory reporting onboarding and aged analysis reporting are built into the system, ensuring all parts of your onboarding function are digitalised and fully controlled.

User benefit:

Automating client onboarding creates an efficient, accurate and scalable model that can be embedded in a global organisation.



Mitigate fraud risk through ongoing client due diligence

Challenge: Customer due diligence is a never-ending requirement, with zero tolerance for error. Without automation, firms will struggle to stay compliant.

KURE solution: KURE automates the ongoing due diligence effort. Capabilities include:

- Account refresh.
- Fraud prevention.
- Risk profiling.
- Expired documentation monitoring
- Screening for sanctions and politically exposed persons.

Automated account refresh reviews accounts to ensure all documents and data are up-to-date. Ongoing screening and risk profiling monitor for any change in status, allowing users to manage by exception.

User benefit:

Ongoing due diligence safeguards your business, allowing an enterprise level of operation with global application.



Expose potential frauds with real-time suspicious activity monitoring

Challenge: Undetected frauds pose serious financial, regulatory and reputational risks. But relying on human alertness and ad hoc checks makes it hard to spot, and stop, suspicious activity.

KURE solution: Real-time activity monitoring enables suspicious transactions or behaviors to be identified and dealt with before they become an actual breach. KURE can spot AML risks that contravene a user's pre-set parameters (which can be configured as required), trigger automated alerts, and block accounts or transactions when suspicious events occur.

User benefit:

By automatically identifying and flagging suspicious activity in real time, firms can expose potential frauds, and take fast, remedial action.



GDPR-compliant client offboarding

Challenge: Customer offboarding demands highly-controlled processes and is difficult for firms to scale.

KURE solution: KURE's framework of systematic data retention capabilities can be easily configured to satisfy different jurisdictions' rules. The solution is GDPR compliant, and can purge and mask customer data, track inactive or obsolete accounts, and monitor jurisdictions' data retention policies to stay up-to-date with current guidance. Users are alerted automatically when retention periods have been reached and action needed. Specific data is targeted in a controlled fashion where purging is required.

User benefit:

Automated, systematic control over data retention and flexibility in purging give the assurance firms need to operate compliantly.



Automated business process management

Challenge: Workflow management is a challenge for all organisations. Any breakdowns or sticky processes can cause errors, bottlenecks and inefficiencies.

KURE solution: An integrated workflow solution means tasks, activities and statuses are transparent. APIs provide seamless integration to other Deep Pool and third-party systems, enabling automated import and export of data to and from KURE to support initial and ongoing client due diligence. Data updates, such as change of circumstance, automatically create items for resolution. KURE handles remediation of actionable items.

Recurring tasks can be configured and assigned, SLA deliverables tracked and overall dashboard views set for simple MIS, making the management and allocation of tasks across global teams easy.

User benefit:

Transparency and control deliver process scalability and efficiency.

A person is sitting on a sand dune in a desert landscape. The dunes are large and smooth, with a warm orange glow. The person is small in the distance, wearing a white helmet and dark clothing. The background is a vast, undulating expanse of sand dunes under a bright sky.

**Automate the processes
most important to you**

Discover how KURE can
transform your business.

About Deep Pool

Deep Pool is the #1 investor servicing and compliance solutions supplier, providing cutting-edge software and consulting services to the world's leading fund administrators and asset managers. Our flexible solution suite, developed by an experienced team of accountants, business analysts and software engineers, supports offshore and onshore hedge funds, partnerships, private equity vehicles, retail funds and regulated financial firms. Deep Pool is a global organisation with offices in Dublin, Ireland, the United States, the Cayman Islands and Slovakia. For more information, visit: www.deep-pool.com.

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