

NTAS

The fund administration gold standard.



Take control of your business with a market-leading transfer agency record keeping, shareholder registry and reporting platform.

Support for all hedge fund, private equity and retail fund structures allows users to adopt a single operating model, with consolidated reporting and a unified user and client experience.

Automate and streamline

NTAS' end-to-end automation puts enhanced operational efficiency and scalability, client service, transparency and security at your fingertips. Used by administrators and investment managers, our industry-leading investor servicing and compliance capabilities include:

- Automated maintenance of the investor register.
- Investor lifecycle management.
- Compliance and regulatory reporting.
- STP processing of trades and events.
- Automated profit and loss allocation.
- Complex fee calculation engine.
- Customisable investor reporting and distribution.

NTAS supports:

- Hedge Funds
- Retail Funds (including UCITS and SICAVs)
- Unit Trusts
- Private Equity vehicles
- Private Debt Funds
- Real Estate funds
- Family Offices
- Fund of Funds
- Onshore Partnerships
- Offshore limited companies

Why choose NTAS

Automate shareholder registry maintenance

Efficient straight-through trade processing

Automate complex fee calculations

Automate NAV processing

Strengthen AML/KYC and FATCA/CRS controls

Customisable workflows tailored to your business

Deliver comprehensive, customisable reports

Bespoke portal enhances two-way communications

Best practice user and data security protections

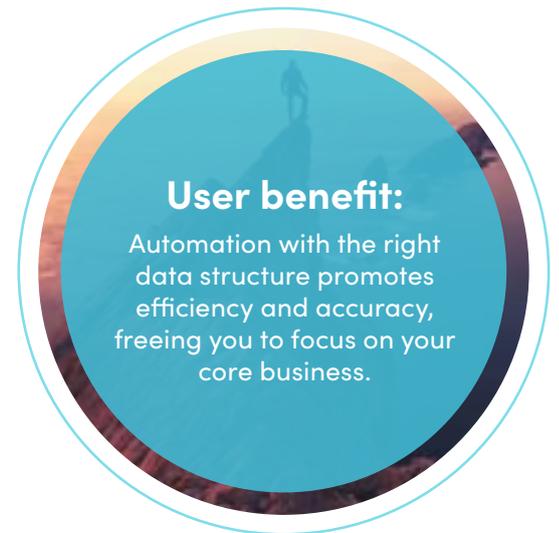
Automate your processes. Increase efficiencies.
Add value for your clients.



Automated investor servicing

Challenge: To maintain the investor register effectively, global operational teams need automation and scalability.

NTAS solution: NTAS' capabilities span the end-to-end investor servicing process, and cater for all fund structures, partnerships and side pocket arrangements. NTAS automates data entry tasks, eliminating the need for user input. Support for standards such as SWIFT and flexible data capture options enable administrators and investment managers to implement an exception-based model where the system does the work..



Streamlined cash management

Challenge: Cash instruction and its settlement can be cumbersome and introduce risk.

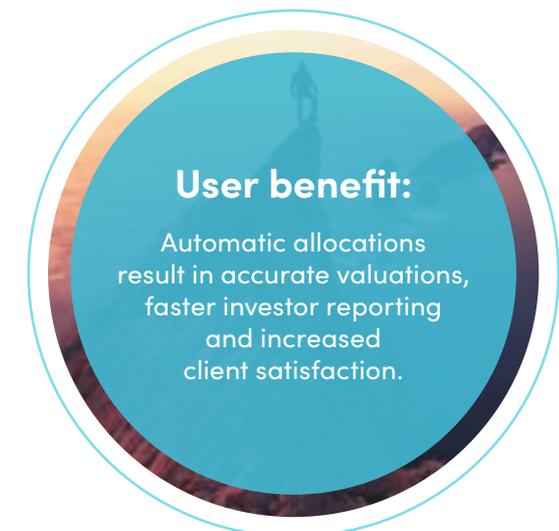
NTAS solution: NTAS automates the cash instruction for settlement. Integration with third-party banking platforms creates an automated, accurate, scalable process, while inbuilt reconciliation provides control and comfort. Multiple currency capabilities cover the array of fund domicile and investor needs.



NAV creation and allocations

Challenge: Valuation, allocation and NAV creation are time-critical elements in investor servicing that put pressure on SLAs.

NTAS solution: NTAS includes a powerful engine for profit and loss allocation, fee calculation and NAV creation. Flexibility of even and uneven allocations enables investment managers and administrators to cater to their entire universe of fund structures, from straightforward to multi-level master-feeders featuring side pockets and special situations.





Strengthen AML/KYC controls

Challenge: Client and investor due diligence is integral to investor servicing. A zero appetite for risk requires enterprise solutions.

NTAS solution: NTAS integrates seamlessly with Deep Pool's KURE compliance system to automate AML/KYC tasks and FATCA/CRS reporting, helping users meet stringent due diligence and multi-jurisdictional regulatory requirements. AEOI, FATCA and CRS filings streamline regulatory reporting processes.

Additional features include:

- Integration with screening providers.
- Risk-based compliance.
- Suspicious activity monitoring.

User benefit:

Strengthen the control culture across the organisation, meet regulatory standards, and protect your business against financial, regulatory and reputational risk.



Automated business process management

Challenge: Workflow management often suffers from a lack of automation, fostering errors and bottlenecks that constrain firms' ability to meet SLAs.

NTAS solution: An integrated workflow solution ensures tasks, activity and status are transparent. Management and allocation of tasks across global teams is made easy. Recurring tasks can be configured and assigned, SLA deliverables tracked and overall dashboard views set for simple MIS.

User benefit:

Transparency and control enable scalability and efficiency.



Automate complex fee calculations

Challenge: Complex fee calculations are often supported offline, requiring significant time and effort, and introducing risk to the valuation process.

NTAS solution: NTAS caters for a wide variety of fee methods for open- and closed-ended structures, with the flexibility to ensure prospectus requirements can be met. Set up is intuitive. Leveraging the data structures in the system for global use allows for unlimited scalability.

User benefit:

Systematic control over complex calculations ensures accuracy and consistency in the valuation process, and reduces reliance on individuals and offline spreadsheets.



Seamless system integration

Challenge: Disparate systems that don't talk to each other prevent real-time data exchange, and lead to a multitude of inefficiencies and inaccuracies.

NTAS solution: NTAS provides real-time integration and validation through a sophisticated API platform. ISO 20022 messaging support and API-enabled third-party system integration automate data exchange. Connectivity ensures data integrity across the ecosystem

User benefit:

A simplified experience that maintains data behind the scenes in an integrated fashion.



Deliver comprehensive, customisable reports

Challenge: Meeting client demand for custom reporting often leads to offline processing, compromising the accuracy and consistency of delivery to end-users.

NTAS solution: NTAS features an extensive library of customisable reports to meet the needs of all stakeholders. Report distribution is automated and gated (including four-eye review), ensuring the full message is sent out in a controlled fashion. Control is maintained without compromising the efficiencies of scaled-up reporting and distribution.

User benefit:

Higher quality, tailored reports enhance client satisfaction and engagement, while operations teams enjoy more efficient, scalable processes.



Enhance fund/client relationships with a bespoke user portal

Challenge: Provide a dynamic, responsive digital experience that gives end-users more control and freedom.

NTAS solution: The NTAS web solution offers powerful self-service capabilities to investment managers and their investors. Investors can submit orders and documents online, streamlining processes for all parties in the chain. Orders are available in real time for the administrator to take through the investment process using NTAS' rules and workflow capabilities, while managers receive up-to-date information on capital flows.

End-users can also access reports on their schedule, rather than waiting on mail or file delivery, without compromising the controlled release of data.

User benefit:

Improved client and investor experiences enhance satisfaction, promoting a boost in investment and fees.



Ensure robust user and data security

Challenge: Data security is a must-have for investment managers and administrators.

NTAS solution: Systematic controls that meet modern best practice standards include:

- Dual key for critical data.
- Maker/checker functionality.
- Row Level Security applicable to data.
- User role matched to function.

The security controls ensure the data that investment managers and administrators have in their custody is protected and managed in a controlled fashion at all times.

User benefit:

Safeguard data against loss, corruption and breaches.
Operate with control in a global environment

Automate the processes
most important to you

Discover how NTAS can
transform your business.

About Deep Pool

Deep Pool is the #1 investor servicing and compliance solutions supplier, providing cutting-edge software and consulting services to the world's leading fund administrators and asset managers. Our flexible solution suite, developed by an experienced team of accountants, business analysts and software engineers, supports offshore and onshore hedge funds, partnerships, private equity vehicles, retail funds and regulated financial firms. Deep Pool is a global organisation with offices in Dublin, Ireland, the United States, the Cayman Islands and Slovakia. For more information, visit: www.deep-pool.com.

For any enquiries email sales@deep-pool.com or visit www.deep-pool.com

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